Nomination Form

Section Representative to the Board of Directors *Term 2018-2021* Please limit this Nomination Information to 4 pages

 Provide your candidate's name, affiliation and full contact information, including email address and phone:
Andrew Cates
5910 Clementine Lane
Austin, TX 78744
andcates@gmail.com
512-426-4593

2) I am nominating this person as a $\underline{SMALL}/LARGE$ (circle or underline) Section Representative to the Board of Directors. (There are currently two open positions: one Large Section Representative and one Small Section Representative).

3) This candidate is a member of the following section: Legislative & Campaign Law

4) Briefly describe your candidate's personal background, character and contributions to their community.

Cates is a 10 year attorney who has been involved in young professional development in Austin throughout his time here. Andrew has served on numerous nonprofit boards in Austin, including the Austin Young Chamber of Commerce, the Austin Young Chamber Foundation and the Austin Young Lawyers Association. Most recently he joined the board of directors of Skillpoint Alliance, which prepares Central Texas residents for skilled trade careers through innovative approaches to learning and strategic partnerships in the community. He has been nominated four times for YMBL/YWA's Austin Under 40 awards, including once as a finalist in the Government Affairs category.

5) Describe your candidate's professional achievements and commitment to the legal profession.

Andrew Cates serves as General Counsel and Director of Government Affairs for the Texas Nurses Association. The Texas Nurses Association is the largest and oldest association of professional nurses in Texas, and the only nursing association which represents all nurses in all practices around the state. Cates directs all legal affairs, policy development and political engagement for the association, as well as all lobby and PAC activity at the Texas Capitol. Prior to joining TNA, Andrew served as legislative attorney for the Texas Association of REALTORS[®] as well as lead attorney for the Texas Association of REALTORS[®] Political Action Committee, the largest PAC in Texas. After joining the REALTORS[®] in 2012, he quickly become an expert in campaign finance and ethics law and recently published Texas Ethics Laws Annotated, the first and only complete legal annotation of campaign finance and lobby laws in Texas (available for sale at www.texasethicslaws.com).

In the years leading up to this practice, Andrew spent three years at the Texas Capitol working for various state representatives and clerking the House Committee on Criminal Jurisprudence, lobbied for solar energy and healthcare clients, and worked in various areas of the law including mergers & acquisitions, healthcare, criminal prosecution, and criminal defense.

6) Describe your nominee's participation in State Bar projects, including contributions to your Section.

Cates has served on the council for the Legislative & Campaign Law section of the State Bar (currently

the fastest growing section of the Bar) since its inception, and currently serves as section chair. In this capacity, Cates is now working with the Texas Board of Legal Specialization to develop and implement the first ever legal specialization in Legislative & Campaign Law in the United States.

7) Provide *your* full contact information including email address and phone. **Self nominated**

8) Please verify that you have discussed the duties and purpose of this position with your candidate and have verified they are willing to serve in this capacity, if elected. <u>Yes</u>.

Nominating Procedure

Nominations must include a completed Nomination Form and candidate resume. Resumes should be limited to one page and the nomination information should be limited to four pages. Nominations or resumes that exceed these limits will be reduced accordingly.

Nominations will be accepted by mail or email (email is preferred) and must be received no later than Monday, February 5th at 5:00pm. Send nominations to:

Tracy Nuckols, Project Manager, 1414 Colorado, Austin, TX 78701-1627 Phone: (512) 427-1710, Email: tnuckols@texasbar.com

Elections will be held at the Council of Chairs Meeting on February 23th at the Texas Law Center in Austin. Only Section chairs (or their designated representative) are qualified to vote in this election and you must be present to cast your ballot. Telephone attendees will be allowed to vote by email to tnuckols@texasbar.com. Early or late votes will not be accepted. Nominees are encouraged to attend and briefly present their qualifications to the Council of Chairs Committee on February 23.

ANDREW STEWART CATES

POLITICAL ETHICS LAWYER • PUBLIC AFFAIRS EXPERT • LEGISLATIVE SPECIALIST

PROFESSIONAL EXPERIENCE

Texas Nurses Association

General Counsel & Director of Government Affairs

- Sole in-house attorney and lobbyist for the largest Texas association of professional nurses
- Manager of multiple direct employees/interns and other ancillary consultants including attorneys and outside lobby, as well as over two dozen grassroots advocates around Texas
- Responsible for legislative and political agenda as well as managing the association's political action committee
 - Grew PAC over 500% in first year, and fundraised more in 18 months than in association history.
 - o Restructured Government Affairs Department to engage 20x more advocates
 - Secured over \$7 million in funding for nursing programs through legislation
 - Secured a hearing for the first time in Texas history for a full practice authority bill for advanced practice nurses
- Sole legal counsel on all contractual and employee related legal issues for the association
 - Managed and strategized a \$250,000 settlement on a contractual dispute with vendor

Capitol Ethics Publishing, LLC *CEO*

• Self-run book publishing business to manage finances for self-published book, *Texas Ethics Laws Annotated*

- First-of-its-kind legal practice guide on campaign finance and lobby laws in Texas
- Contains all relevant statutes, cross references to Texas Ethics Commission rules, case law, background, practice notes, OAG opinions and summaries of all 540+ ethics advisory opinions for the most up-to-date information possible.
- Currently available online (<u>www.texasethicslaws.com</u>) and through both the Texas House and Senate purchasing departments for elected officials.

Texas Association of Realtors

Associate Counsel

- Lead legislative counsel in charge of drafting bills and amendments, including analysis of all 6,000+ filed bills for one of the largest trade associations in Texas (100,000 members statewide)
- Lead counsel for ethical and legal compliance for in-house lobbyists and TREPAC, Texas' largest PAC by volume of contributions and political expenditures each year
 - o Included formation, continued oversight and campaign finance compliance for 4 PACs and a Super PAC.
- Expert legal analysis and practice area in real estate law, ethics law, election law and corporate governance
- Responsible for all regulatory analysis and tracking for the association among all state agencies
- Testifying legal expert at legislative committee hearings regarding property law, ethics law and election law
- Frequent speaker/presenter on ethics law, PAC law, election law and real estate issues

Brown McCarroll, L.L.P.

Public Affairs Specialist & Transactional/Corporate Attorney

- Responsible for tracking legislation and primarily responsible for maintaining relationships with each legislative office in the Capitol and advocating on a number of bills on behalf of the Firm's clientele, including:
 - Solar generation and distribution incentives in Texas, specifically HB 2961 by Rep. Darby, et al.
 - Agencies in the sunset process, specifically the Public Utility Commission, the Railroad Commission, and the Texas Department of Insurance
 - Any proposed changes to the Transportation Code, Utilities Code, Health Code, and Occupations Code.
 - Health care overhaul, changes to the Texas Medical Board physician licensing procedures, and regulations of ambulatory surgical centers and accountable care organizations.
- Primary legal focus in corporate and transactional law with a focus on healthcare law, including asset purchases and mergers & acquisitions, and legislative analysis of recent developments affecting broad areas of law.

Austin, Texas 2015 – present

2015 - present

Austin, Texas 2012 – 2015

on law

Austin, Texas 2011–2012

Acted as assistant corporate counsel throughout \$7 million merger of healthcare center •

State Representative Pete Gallego, District 74

Chief of Staff & General Counsel

- Responsible for managing the office and providing legal counsel for a senior member of the legislature, who rep-• resented a West Texas district over 13,000 square miles wide, or 1/10th of Texas.
- Managed 9-10 staffers in Austin/Capitol office, Del Rio office, and Alpine district office during the interim, and • up to 15 staffers during the legislative session.
- Responsible for all content and work product out of the Representative's office, including but not limited to:
 - All legislation, including writing legislation in-house and tracking bills throughout the process and working with other legislative offices to ensure passage.
 - All constituent issues and questions
 - Committee business House Committee on Criminal Jurisprudence, Committee on State Affairs, and • Committee on General Investigating & Ethics
 - Contact with local elected officials and interest groups •
 - Meetings with state agencies on behalf of the office
 - Media contact and press releases
 - Policy talking points and script speech writing
- Gained an intimate personal familiarization with criminal legislation, solar/wind/renewable energy technology, • and election/ethics laws.

House of Representatives Committee on Criminal Jurisprudence

Asst General Counsel & Chief Committee Clerk

- Responsible for upholding all Rules of Procedure for the House of Representatives and ensured that the Chairman • of the Committee and the six Subcommittee Chairs acted within purview of the Rules.
- Advised Chairman of Committee and six Subcommittee Chairs on political policy for the direction of their com-• mittees.
- Advised Chairman of Committee and six Subcommittee Chairs on legal matters and the application of the Penal Code and Code of Criminal Procedure.
- Wrote bills for the Chairman of the Committee and developed language to be used in bills, and provided legal analysis on bills pertinent to the Committee.

House of Representatives Democratic Caucus

Director & Assistant General Counsel

Coordinated correspondence with all Democratic Members of the House, maintained finances, and researched policy positions.

John Lipscombe for County Court at Law

Deputy Campaign Manager

Coordinated volunteers for campaign, directed field operations as well as speech writing and website management ٠ responsibilities.

PROFESSIONAL LICENSES

Attorney at Law, Texas, 2007

EDUCATION

Texas Tech University School of Law

Doctor of Jurisprudence

- Summer Law Institute Study Abroad Guanajuato, Mexico •
- Innocence Project Clinic & Advanced Mediation Clinic •
- President, International Law Society •
- Participant in Southwest Global Leaders Summit in Santa Fe, NM as an invited student leader

Austin, Texas 2008-2009

Austin, Texas

2007-2008

Lubbock, Texas

Cates Resume

pg. 2

2009-2010

2010-2011

Austin, Texas

Austin, Texas

SKILLS

- Fluent in Spanish (reading, writing, speaking)
- Proficient in social media tools for business Facebook/Twitter profile management & ads for political offices
- Frequent use of Constant Contacts, Muster, Campaign Monitor, Phone 2 Action for political outreach and action alerts
- Proficient in use of NGP and Texas VAN software for campaign fundraising and outreach

| COMMUNITY INVOLVEMENT AND HONORS | |
|------------------------------------------------------------------------------------------------|-----------------------------------------------------------|
| Graduate of the Last Decade Award, Texas Tech Law Alumni | Austin Young Chamber Foundation Board of Directors, 2016- |
| Association, 2017 | 2017 |
| President's Award for Contribution to the Profession, Houston Area Nurses Association, 2017 | Skillpoint Alliance Board of Directors 2017- present |
| | Legislative and Campaign Law Section, State Bar of Texas |
| Finalist, 2016 (Nominated, 2017) Austin Under 40 Awards Government Affairs Category | Founding Member & Chair, 2015-2017 |
| | Austin Young Chamber of Commerce |
| Downtown Austin Community Court Advisory Committee Commissioner, City of Austin – 2016-2017 | Board Member/Vice Chair 2013-2015 |
| | Young Men's Business League Board of Directors 2013-2014 |
| Austin Young Lawyers Association Board of Directors, 2016- present | Leadership Austin Emerge Class of 2012 |
| | Texas Observer's 2012 Next Generation of Leaders |

TEACHING/SPEAKING ENGAGEMENTS & PUBLICITY

"Judicial Ethics Polling Presentation" Andrew Cates & Drew Harris, Austin Bar Association Bench Bar Conference, May 2017

"Grassroots Advocacy 101" Andrew Cates et al., APRN Alliance Legislative Day, February 2017

"Powering Legislative Advocacy Using Airtable: Andrew Cates" Article on innovative use of new technology for lobbying http://blog.airtable.com/post/134951921082/powering-legislative-advocacy-using-airtable

"2015 Legislative Session: A Nursing Perspective" – Featured Speaker, Texas Nurses Association Leadership Conference 2015

"How to Engage Candidates the Right Way" - Keynote, TEXAS NURSE PAC Fundraiser 2015

"Changes in Campaign Finance Law CLE" – Panelist, State Bar of Texas Annual Meeting 2014 – Legislative and Campaign Law Section

"Imagine All the People Living Life in Peace: Texas PACs and Corporate/Association Political Involvement" – Panelist, Professional Advocacy Association of Texas 10th Annual Ethics Compliance Institute 2014

"Youth and Young Professional Engagement" - Speaker, Habitat for Humanity 2014 Annual Conference

"Political Fundraising Tips and Pitfalls" - San Antonio Board of REALTORS® 2013 PAC orientation

"Political Advertising and Fundraising for Corporations and PAC's" - Abilene Board of REALTORS® 2012